Department of the Army Headquarters, United States Army Installation Management Command 2511 Jefferson Davis Highway Arlington, Virginia 22202 30 April 2007

Military Police

UNITED STATES ARMY INSTALLATION MANAGEMENT COMMAND (IMCOM) SERIOUS INCIDENT REPORTS (SIRs)

Summary. This is the first publication of this regulation. This regulation prescribes policies, responsibilities, and procedures for Serious Incident Reporting.

Applicability. The policy and guidance prescribed by this regulation applies to all IMCOM Region Directors and Garrison Commanders/Managers.

Supplementation. Supplementation of this regulation is permitted by Directors at Region level.

Suggested Improvements. The proponent of this regulation is the Operations Division. Users are invited to send comments and suggested improvements on DA Form 2028 (Recommended Changes to Publications and Blank forms) electronically to imcomopscenter@hqda.army.mil.

Distribution. This regulation is distributed solely through the IMCOM Homepage at http://www.imcom.army.mil.

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^{*}This regulation supersedes IMA Policy Memorandum #11, dated 20 December 2005.

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Chapter 1 Introduction

1-1. Purpose

This regulation provides guidance for Serious Incident Reporting (SIR) flow from Garrisons and Regions to HQ, IMCOM, Department of the Army, and to outline issues and incidents that Garrison Commanders/Managers and Region Directors must notify the IMCOM Command Group of in a timely manner.

1-2. References

Required and related publications; and, prescribed and referenced forms, are listed in appendix A.

1-3. Explanation of abbreviations and terms

Abbreviations and terms used in this regulation are explained in the glossary.

Chapter 2 Responsibilities

2-1. Region Directors will —

Report any incident they feel requires the attention of the IMCOM Command Group. WHEN IN DOUBT – REPORT.

2-2. Garrison Commanders/Managers will -

- a. Provide timely notification, through their respective Region Directors/Operations Centers, to the IMCOM Command Group of any issues or incidents as described herein.
 - b. Report any incident they feel requires the attention of the IMCOM Command Group.
- c. Will coordinate with their respective Installation, Senior Mission, or Senior Tactical Commanders to ensure that SIR information is exchanged and dual reporting minimized.

CHAPTER 3 REPORTABLE ITEMS

AR 190-45 specifies three categories of reportable items:

- a. **Category 1** Reportable Serious Incidents (AR 190-45, para 8-2) are those incidents requiring <u>immediate telephonic notification</u> from the reporting unit (i.e., garrison) to the Army Watch, followed by a <u>written report</u> emailed within 12 hours of discovery or notification to the Army Watch and Provost Marshal General (PMG).
- b. **Category 2** Reportable Serious Incidents (AR 190-45, para 8-3) are those incidents requiring only a <u>written report</u> emailed within <u>24 hours</u> of discovery or notification to the Army Watch and PMG.

- c. **Category 3** Reportable Serious Incidents (AR 190-45, para 8-4) are those incidents not already covered by Categories 1 or 2, but still of particular interest to the IMCOM Command Group. These incidents are further categorized as follows:
- (1) **Category 3a**. Incidents requiring <u>immediate telephonic notification</u> to the IMCOM Command Group, followed by a <u>written report</u> emailed to the IMCOM Operations (OPS) Center & Region OPS Center within <u>two hours</u> of discovery or notification.
- (2) **Category 3b**. Incidents requiring only a <u>written report</u> emailed to the IMCOM OPS Center & Region OPS Center within two hours of discovery or notification.

Chapter 4 Procedures

SIRs will be reported by Garrison Commanders/Managers in accordance with (IAW) the following procedures:

4-1. Category 1.

- a. Garrisons will provide <u>immediate telephonic notification</u> (any hour, day, night, weekend, or holiday) of Category 1 SIRs to the Army Watch (AR 190-45, para 9-2a) and to the appropriate Region Director.
- b. Region Directors will then provide <u>immediate telephonic notification</u> to the IMCOM Deputy Commanding General (DCG). If unable to contact the DCG, then notify the IMCOM Chief of Staff (COS).
- c. If the Chief of Staff is not available, Region Directors will immediately notify the IMCOM Staff Duty Officer (SDO) at 703-380-4295.
- d. Garrisons will follow their telephonic report with a <u>written executive summary</u> (EXSUM) in email text format (with or without the initial SIR attached) within <u>two hours</u> to the appropriate Region Director, Region OPS Center, and IMCOM OPS Center. Information required but unavailable shall be indicated by To Be Announced (TBA), Unknown (UNK), or Not Applicable (NA).
- e. Garrisons will follow their initial telephonic report with a <u>written report</u> (AR 190-45, fig 9-1), emailed to the Army Watch and PMG (DAPM-MPD-LE) within <u>12 hours</u> of discovery or notification (AR 190-45, para 9-2a).
- f. Garrisons reporting Category 1 SIRs will provide information copies (via email) of these SIRs to their respective regions and other required info addresses (AR 190-45, para 9-5).
- g. In addition to preparing the written Category 1 SIR, Garrisons will prepare an EXSUM of the SIR IAW the following format:

(1)	Region/Installation:		

- (2) What:
- (3) Who:

- (4) Where:
- (5) When:
- (6) Army Watch Notified:
- (7) Summary of Incident:

4-2. Category 2.

- a. Garrisons will provide a <u>written report</u> in email format using the <u>EXSUM</u> above with or without the initial SIR attached within <u>two hours</u>. Information required but unavailable shall be indicated by TBA, UNK, or NA. <u>Telephonic notification for category 2 SIRs is not required</u>.
- b. Garrisons will provide a written report (AR 190-45, fig 9-1), emailed to the Army Watch and PMG (DAPM-MPD-LE), within 24 hours of discovery or notification (AR 190-45, para 9-2b).
- c. Garrisons reporting Category 2 SIRs will provide information copies (via email) of these SIRs to their respective regions and other required info addressees (AR 190-45, para 9-5).

4-3. Category 3a.

- a. Garrisons will provide <u>immediate telephonic notification</u> (any hour, day, night, weekend, or holiday) of Category 3a SIRs to the appropriate Region Director.
- b. Region Directors will then provide immediate telephonic notification to the IMCOM DCG. If unable to contact the DCG, then notify the IMCOM COS.
- c. If the COS is not available, Region Directors will notify the IMCOM SDO at 703-380-4295.
- d. Garrisons will follow their telephonic report with an <u>EXSUM</u> within <u>two hours</u>. Information required but unavailable shall be indicated by TBA, UNK, or NA.

4-4 Category 3b.

- a. Garrisons will provide a <u>written report</u> in email format using the <u>EXSUM</u> above within <u>two hours</u> of discovery or notification to their respective Region Director, Region OPS Center, and IMCOM OPS Center. Telephonic notification for Category 3b SIRs is not required.
 - b. Information required but unavailable shall be indicated by TBA, UNK, or NA.

Chapter 5 Coordinating Instructions

a. All incident reports are SIRs. The term Commanders' Critical Information Requirements (CCIR) will no longer be used to describe these incidents.

- b. The IMCOM COS is responsible for notifying other members of the IMCOM Command Group of Category 1 and Category 3a SIRs. IMCOM OPS Center will forward EXSUMs received from IMCOM Installations/Regions to the IMCOM DCG, Executive Officer, Executive Director, Chief of Staff, Command Sergeant Major, and to the ACSIM Executive Officer.
- c. If the IMCOM SDO is contacted, he/she will make every attempt to contact the IMCOM COS. If the COS is not available, the SDO will contact the IMCOM OPS Center to pass on the telephonic SIR notification.
- d. Tenant unit-generated SIRs should be sent directly to the Army Watch and their appropriate higher headquarters' command. Garrisons shall require their tenant units to include them as cc addresses on all tenant unit-generated SIRs/OPREPs. As received, theses SIRs/OPREPs should be forwarded by the garrison to their respective Region OPS Centers for situational awareness. Region OPS Centers will forward pertinent tenant-unit SIRs/OPREPs to the IMCOM OPS Center.
- e. All SIRs transmitted electronically will be forwarded as an attachment to an email messaged addresses as previously discussed and as listed below. The body of the email will contain the EXSUM format only along with the contact information below.
- f. All SIRs/EXSUMS will indicate in the subject line, the SIR Category/Number, Installation, type of incident, and if this an IMCOM or NON-IMCOM incident.
- g. All EXSUMS transmitted electronically will contain a Point of Contact (POC), telephone number (DSN and commercial), and e-mail address of the individual/OPS Center responsible for generating the EXSUM.
 - h. Transmit unclassified SIRs/EXSUMS on the NIPRNET marked FOUO.
- (1) Army Watch: armywtch@hqda-aoc.army.pentagon.mil. DSN: 312-227-0218 or COMM: 703-697-0218.
 - (2) Office of the Provost Marshall: mpops@hqda-aoc.army.pentagon.mil
- (3) IMCOM OPS Center: imcomopscenter@hqda.army.mil. DSN: 312-332-4254 or COMM: 703-602-4254.

IMOP-O

FOR THE COMMANDER:

ROBERT WILSON Lieutenant General, USA Commanding

OFFICIAL:



SIGNED: CHRISTOPHER G. ESSIG Colonel, GS Chief of Staff

Appendix A References

Section I Required Publications

AR 190-45

Law Enforcement Reporting

Section II Related Publications

This section contains no entries.

Section III Prescribed Forms

This section contains no entries.

Glossary

Section I Abbreviations

AR

Army Regulation

CCIR

Commanders' Critical Information Requirements

cos

Chief of Staff

DCG

Deputy Commanding General

EXSUM

Executive Summary

FOUO

For Official Use Only

IMCOM

Installation Management Command

NA

Not Applicable

NIPRNET

Nonsecure Internet Protocol Routing Network

OPS

Operations Center

POC

Point of Contact

PMG

Provost Marshal General

SDO

Staff Duty Officer

SIR

Serious Incident Reports

TBA

To Be Announced

UNK

Unknown

Section II

Terms

This section contains no entries.

Section III

Special Abbreviations and Terms

This section contains no entries.

Table 5-1 HQDA/IMCOM SIR Reporting Matrix

HQDA / IMCOM SIR REPORTING MATRIX

	HQDA	HQDA	IMCOM	IMCOM
	SIR CATEGORY 1	SIR CATEGORY 2	SIR CATEGORY 3a	SIR CATEGORY 3b
	1. Immediate telephonic notification 24/7 to Army	(0.11. 0.11. 0.01. 0.11.	1. Immediate telephonic notification 24/7 to Region	3(3)233 32
	Watch & Region Director	Telephonic notification optional	Director	1. Telephonic notification optional
	E-mail SIR report to Army Watch and OPMG W/I	E-mail SIR report to Army Watch and OPMG	Birector	1. receptions notification optional
GARRISON TO \	12 hours	W/I 24 hours		
ARMY WATCH / IMCOM	12 llouis	10/1 24 (10uls		
OPS / REGION OPS /		3. E-mail garrison specific EXSUM to Region		
	3. E-mail EXSUM to Region Director, Region OPS		2. E-mail EXSUM to Region Director, Region OPS	2. E-mail EXSUM to Region OPS Center and IMCOM
/	Center, and IMCOM OPS Center W/I 2 hours	g 1000 PAINTE CONTENT PARTE SANCTARIO PARTE DE PARTE DE LA CONTENT DE LA CONTENT DE LA CONTENT DE LA CONTENT D		OPS Center W/I 2 hours.
	a. On/off post riots, serious disturbances,	hours a. Theft of gov appropriated funds or destruction		Other death or serious injury to any IMCOM
R	or demonstrations targeted against the Army or	of government property valued at >\$100K.	leader (GO,SES,GC).	Soldier, DA civilian, or full time contract
E	involving Army personnel.	b. Theft of government non-appropriated funds		employee not covered in SIR CATEGORY 3a.
P	b. War crimes, including mistreatment of enemy	or property valued at >\$100K.		b. Any incident which impacts the Garrison
0	POW's, detainees, displaced persons, retained	c. Racially or ethnically motivated criminal acts.		mission requiring an SIR.
R	persons or civilian internees, violations of the	d. Loss, theft, wrongful disposition of evidence,	legal suits, administrative order that would stop	c. Senior IMCOM leader out of communications
T	Geneva Conventions, and atrocities.	sensitive items, controlled cryptographic		or temporarily away from the organization
À	Control and the state of the st	items, night vision devices, or drugs.	requiring immediate notification to any DOD or	including TDY and leave and who is acting
B	c. Requests by U.S. Army personnel for political asylum or indications of defection.	e. Wrongful possession, distribution of	external agency.	in their absence (RD's, DD's).
L	d. Terrorist activities, sabotage, and incidents,	controlled substances:		d. Visit from a Brigadier General or above and
E	initiated or sponsored by known terrorists,			elected officials for official business involving
-	dissident groups, or criminal elements that occur	Cocaine =>100 grams	electrical outage, loss in water, sewage, heating,	installation operations
1	on an installation, or involve military personnel or	Marijuana =>1000 grams		e. Significant changes to normal activities on
i i	property off an installation.	Hashish =>1000 grams	damage/destruction to any Flag/GO qtrs related	the installation that prompts interest from
Ė	e. Bomb or explosive incidents resulting in death,	Heroin =>100 grams	to the above.	senior occupants.
M	injury of military personnel, or damage to military	Amphetamines or barbiturates => 100 grams	d. Serious incident/misconduct involving a senior	f. Changes negatively affecting a mission
S	property.	LSD => 6 grams	IMCOM leader.	commander's readiness or IMCOM mission
_	f. Incidents involving material damage that	PCP =>100 grams f. Significant violations of Army standards of	e. Any major breach of security.	accomplishment.
	seriously degrade unit operational or training	conduct.		g. Non-routine support to sister services or
	readiness.	g. Incidents involving prisoners or detainees		other governmental agencies.
s	g. Threats against government weapons and	of Army confinement or correctional facilities	g. Any change in FPCON baseline	h. Changes to projects that have command
E	ammunition.	or deaths.	h. Negative communications with or regarding a	interest.
Ē	h. Information on threats, plans, or attempts to	h. Theft, loss, unaccounted, or recovered	Flag/General Officer.	i. Anti-Deficiency Act violation or impending
	harm or kidnap, or other information bearing on the	ammunitions in the following quantities:	i. The following shall be reported as it relates to	violation.
AR	personal security of the President of the US,	,	Medical Hold/Medical Holdover Soldiers:	i. Loss or suspension of security clearance of
190-40	Vice President of the US, or other persons under	Any missile, rocket or mine Any machine gun or automatic fired weapon	A CONTRACTOR OF THE STATE OF TH	any Senior IMCOM leader.
	US Secret Service (USSS) protection.	Any frag, concussion or HE grenade,	Any congressional inquiresivistis	k. Report of environmental enforcement actions.
F	i. Any other incident the commander determines to	artillery round or ground burst simulator.	Any public affairs issues (positive or negative)	Pending adverse or referred rating, disciplinary
0	be of immediate concern to HQDA based on the	Any explosives, i.e. det cord, C-4, etc	Any facility that presents a life, health, or safety issue where Medical Hold/Medical Holdover Soldiers	action, or DUI involving a senior IMCOM leader.
R	nature, gravity, potential for adverse publicity, or	One or more semi or manually operated	reside.	m. Any family housing fire
.592	potential consequences of the incident.	firearm.	C B Sphillipsel	n. Any other incident the commander determines
С		- 11000 C 11000	be of immediate concern to the IMCOM leadership	to be of concern to the IMCOM leadership based
0		Five or more rounds => 50 cal i. Actual or attempted break in of arms room.	based on the nature, gravity, potential for adverse	on the nature, gravity, potential for adverse publicity,
M		j. Armed or attempted armed robbery,		or potential consequences of the incident.
P		k. Trafficking of arms, munitions & explosives		
L		I. Aggravated arson		
E		m. Deaths		
T		n. Kidnapping		
E		o. Major fires or natural disasters =>\$250k		
		p. Mutiny (=> 10 persons)		
D		q. Tng accidents with death or serious injury		
E		r. Maltreatment of Soldiers and DA Civilians		
T		t. Child abuse		
А		u. Serious child injury or death		
Ľ,		v. Serious domestic violence incidents		
L		w. Incidents involving firearms		
S		x. Federal crimes when they meet reporting		
	*Above list is not all inclusive - See AR 190-40	*Above list is not all inclusive - See AR 190-40		
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